

# Lithiation and Delithiation Dynamics of Different Li Sites in Li-Rich Battery Cathodes Studied by *Operando* Nuclear Magnetic Resonance

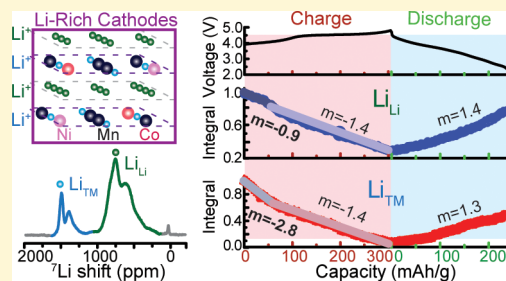
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## Supporting Information

**ABSTRACT:** Li in Li-rich cathodes mostly resides at octahedral sites in both Li layers ( $\text{Li}_{\text{Li}}$ ) and transition metal layers ( $\text{Li}_{\text{TM}}$ ). Extraction and insertion of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  are strongly influenced by surrounding transition metals. *pjMATPASS* and *operando* Li nuclear magnetic resonance are combined to achieve both high spectral and temporal resolution for quantitative real time monitoring of lithiation and delithiation at  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  sites in  $\text{Li}_2\text{MnO}_3$ ,  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ , and  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$  cathodes. The results have revealed that  $\text{Li}_{\text{TM}}$  are preferentially extracted for the first 20% of charge and then  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  are removed at the same rate. No preferential insertion or extraction of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  is observed beyond the first charge. Ni and Co promote faster and more complete removal of  $\text{Li}_{\text{TM}}$ . The recovery of the removed Li is <60% for  $\text{Li}_{\text{TM}}$  and >80% for  $\text{Li}_{\text{Li}}$  upon first discharge. The study sheds light on the activity of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  during electrochemical processes as well as their respective contributions to cathode capacity.



## INTRODUCTION

The fast-growing demand for safe and low-cost energy storage technologies with high power and energy densities drives the continuous improvement of rechargeable Li-ion batteries (LIBs).<sup>1,2</sup> The capacities of LIBs are limited by cathodes. Layered manganese (Mn)-based Li-rich materials, namely  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{LMO}_2$  where  $M = \text{Ni}, \text{Mn}, \text{or Co}$ , represent the state of the art.<sup>3–8</sup> The structure of Li-rich compounds derives from that of layered  $\text{LiMO}_2$  (hexagonal cell, space group  $R\bar{3}m$ ) by a progressive  $\text{Li}^+$  substitution for  $\text{M}^{3+}$  and charge compensation with an increased valence state of the remaining M elements.<sup>9</sup> Among them, Li-rich  $\text{Li}_2\text{MO}_3$  exhibits a monoclinic  $\text{O3-type}$  structure with a space group of  $\text{C2}/m$  to account for the distortion induced by the ordered distribution of the  $\text{Mn}^{4+}/\text{Li}^+$  cations in the mixed  $[\text{Li}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  layer.<sup>10,11</sup> In  $\text{Li}_2\text{MnO}_3$ , Li ions are surrounded by six M atoms to form a honeycomb pattern.  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  (LNMO) and  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$  (LNMCO) are solid solutions of  $\text{Li}_2\text{MnO}_3$  and  $\text{LiMO}_2$  ( $M = \text{Mn}, \text{Ni}, \text{or Co}$ ). Li ions reside in both transition metal (TM) layers and Li layers, denoted as  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$ , respectively, in this paper. Understanding the electrochemical reactivity of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  in Li-rich cathodes and the associated impact of TMs will help the design of cathodes with high capacity. Li atoms are light and, thus, not easily detected with traditional diffraction or electron microscopy approaches. In the early studies, Van der Ven and Ceder applied first-principles computations and Monte Carlo simulations on  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  to study the order rearrangement and Li extraction and insertion dynamics.<sup>12</sup> They found that at the beginning of charge, the Li ions in the

transition metal layers were removed, freeing up tetrahedral sites that were then repopulated by lithium, and the tetrahedral Li required a high potential to be removed. Subsequently with a combination of neutron diffraction, *ex situ* <sup>6</sup>Li nuclear magnetic resonance (NMR), and density functional theory (DFT) calculations, Grey and co-workers<sup>13</sup> found that Li was extracted from both the TM and the Li layers for  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  in the early stages of charge, only a small amount of Li was left in the TM layer, and a large fraction of Li remained in tetrahedral sites, in general agreement with computational modeling.<sup>14</sup> Recently, Persson and co-workers performed detailed first-principles calculations on Li-excess  $\text{Li}_x\text{MnO}_3$ .<sup>15,16</sup> Their studies provided new insights into the electrochemical and structural stability of  $\text{Li}_x\text{MnO}_3$ . The formation energy calculations on  $\text{Li}_x\text{MnO}_3$  structures predicted a sequence of extraction of Li from the thermodynamic perspective, which suggested that Li from the TM layer (2b sites) and partially from the Li layer (2c sites) can be extracted out first followed by Li at the 4h sites in the Li layer. It was also found that a sufficient number of vacancies in the Li layer promoted spontaneous migration of Li from the TM layer to the Li layer.<sup>16</sup> *In situ* monitoring of Li extraction and insertion under battery operating conditions is necessary to gain real time insights into the lithiation and delithiation dynamics of different Li sites. Recently, Meng et al. applied *operando* neutron diffraction (ND) to follow the dynamics of both Li and O atoms in Co- and Ni-containing Li-

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rich NMC cathodes<sup>17</sup> and found that the Li extraction was mainly from Li layers. This finding was different from the prior computational and experimental studies of  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$ . The difference may be due to discrepancies in the characteristics between  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  and Li-rich NMC. Therefore, more detailed and comprehensive investigations are necessary to address the Li extraction and insertion dynamics at various Li sites in Li-rich cathodes with *operando* characterizations of high temporal resolution.

NMR has been shown to be a powerful tool for determining Li local environments<sup>18–26</sup> and their evolution during electrochemical operation.<sup>27–37</sup> Fermi contact interactions between unpaired electrons on Mn, Ni, Co, and Li are significantly different for  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$ , which leads to well-separated resonances, with  $\text{Li}_{\text{Li}}$  typically resonating at 780 ppm and  $\text{Li}_{\text{TM}}$  at 1500 ppm in Li NMR spectra. This provides a means of clearly distinguishing  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  using NMR. However, strong nuclear–electron dipolar interactions in LMO cathodes often lead to very large shift anisotropy and result in overlapping spinning sidebands (SSBs) in Li spectra, even under ultrafast magic-angle spinning (MAS) conditions.<sup>18,34,38–48</sup> This prevents accurate quantification of the (de)lithiation process. Because of a relatively smaller quadrupole moment of  $^6\text{Li}$  compared to that of  $^7\text{Li}$ ,  $^6\text{Li}$  NMR performed at fast MAS provides better resolution and, thus, is often preferred for quantitative studies of Li-rich cathodes in earlier investigations.<sup>49</sup> The results showed that only a small fraction ( $\sim 7\%$ ) of the extracted  $\text{Li}_{\text{TM}}$  reinserted back into the TM layer. This is because certain tetrahedral Li is likely formed during charge and will block reinsertion of Li into TM layers upon discharge.<sup>17,49</sup> Although  $^6\text{Li}$  MAS spectra can be used to quantify the (de)lithiation process *ex situ*, it requires complex sample treatments with expensive  $^6\text{Li}$  isotope enrichment. Also as a result of the low sensitivity associated with  $^6\text{Li}$  NMR (12.5 times lower than that of  $^7\text{Li}$ ), it is extremely challenging to perform *operando* characterizations.

To achieve a high spectral resolution for reliable quantification and a high sensitivity for high temporal resolution to follow the (de)lithiation process in real time, in this work we employ a combination of our previously developed NMR method, projection magic-angle turning and phase-adjusted sideband separation (pjMATPASS),<sup>50</sup> and *operando*  $^7\text{Li}$  NMR. The pjMATPASS technique allows well-resolved resonances without interference from SSBs in  $^7\text{Li}$  NMR spectra at a relatively low sample spinning frequency, which has been successfully demonstrated to achieve high-resolution spectra for pristine Mn-based paramagnetic cathodes.<sup>50,51</sup> Here, LMO cathodes at different states of charge are selected to perform pjMATPASS experiments *ex situ*. The high-resolution pjMATPASS spectra permit reliable quantification of different Li sites and tracking their evolution.

In addition, *operando*  $^7\text{Li}$  NMR is also applied for the three aforementioned LMO cathodes,  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO. The parameters obtained from *ex situ* pjMATPASS NMR analysis are used to simulate  $^7\text{Li}$  NMR spectra under static conduction, which allow robust quantitation of *operando*  $^7\text{Li}$  NMR spectra. The combination of the high spectral resolution provided by pjMATPASS NMR and high sensitivity provided by  $^7\text{Li}$  NMR allows quantitative *operando* characterization of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  extraction and insertion dynamics in Li-rich cathode materials.

## ■ EXPERIMENTAL SECTION

**Preparation of Materials.**  $\text{Li}_2\text{MnO}_3$  was synthesized using a solid-state reaction method from precursors  $\text{Li}_2\text{CO}_3$  and  $\text{MnCO}_3$  with a  $\text{Li}_2\text{CO}_3/\text{MnCO}_3$  ratio of 1.05. The mixture of the precursors was ball-milled for 2 h, heated at 500 °C for 5 h, and then calcined at 700 °C for 24 h.  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  (LNMO) was synthesized using a sol–gel method. All reagents (Sigma-Aldrich) were used as purchased. A stoichiometric amount of  $\text{CH}_3\text{COOLi}\cdot 2\text{H}_2\text{O}$  (99.999%),  $(\text{CH}_3\text{COO})_2\text{Ni}\cdot 4\text{H}_2\text{O}$  (99.99%),  $(\text{CH}_3\text{COO})_2\text{Mn}\cdot 4\text{H}_2\text{O}$  (99.99%), and citric acid (99%) were dissolved in distilled water at a molar ratio of 1.25:0.20:0.60:0.80. The temperature of the solution was kept at 85 °C to form a gel, and the pH value was maintained around 9 by adding  $\text{NH}_4\text{OH}(\text{aq})$ . The gel was preheated at 200 °C for 5 h, followed by annealing at 900 °C for 10 h.  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$  (LNMCO) was synthesized using the same procedure, except that a stoichiometric amount of  $(\text{CH}_3\text{COO})_2\text{Co}\cdot 4\text{H}_2\text{O}$  (99.99%) was added. The phase purity of the  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO materials was determined via powder X-ray diffraction (XRD) (Figure S1).

**Battery Fabrication and Electrochemistry.** The synthesized  $\text{Li}_2\text{MnO}_3$ , LNMO, or LNMCO was mixed with polyvinylidene fluoride (PVDF) (Kynar Flex 2801 from Arkema) and conductive acetylene black [80:10:10 (w/w/w)]. The mixture was dispersed in *N*-methyl-2-pyrrolidone (NMP) and manually ground in an agate mortar for 30 min to form a homogeneous slurry. The slurry was cast onto an Al foam and Ti mesh for cathode preparations for *ex situ* (coin cell) and *operando* (bag cell)  $^7\text{Li}$  NMR measurements, respectively. The cathodes were dried at 120 °C for 4 h under vacuum before assembling batteries in an argon-filled glovebox. The  $\text{Li}_2\text{MnO}_3$ , LNMCO, or LNMO cathode and Li metal anode were separated by a piece of porous glass microfiber (Whatman, type GF/D), soaked with the LP30 electrolyte, which contains 1 M  $\text{LiPF}_6$  in ethylene carbonate and dimethyl carbonate [1:1 (w/w), Merck]. All cycled electrodes were washed three times with NMP to remove residual electrolyte.

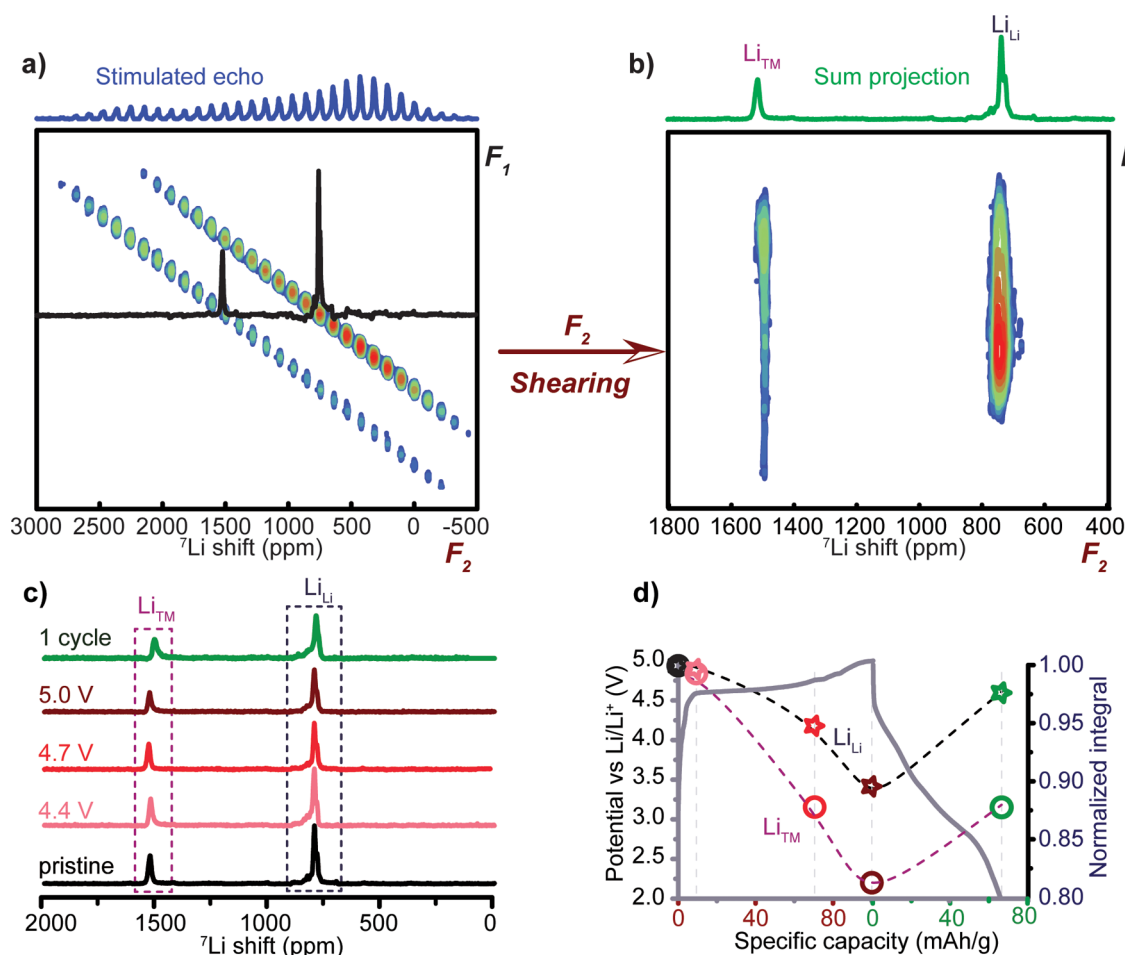
**Ex Situ  $^7\text{Li}$  NMR.**  $^7\text{Li}$  magic-angle spinning (MAS) NMR experiments were performed on a Bruker Avance III spectrometer in a 14.1 T magnetic field with a  $^7\text{Li}$  Larmor frequency of 233.21 MHz. Electrodes were packed into 1.3 mm rotors and spun at a MAS rate of 50 kHz. The pjMATPASS pulse sequence<sup>50</sup> was employed to achieve high-resolution NMR spectra. The recycle delay was 0.3 s, and the 90° pulse length was 1.0  $\mu\text{s}$ .  $\text{LiCl}(\text{s})$  with a  $^7\text{Li}$  chemical shift at 0 ppm was used as a reference.

**Operando  $^7\text{Li}$  NMR.** For *operando* NMR measurements, plastic bag cell batteries were assembled in an argon-filled glovebox and placed inside a home-built static NMR probe.  $^7\text{Li}$  NMR spectra were recorded while the batteries were cycled at a rate of C/30, with theoretical capacities (*C*) of 458, 378, and 377 mAh/g (assuming all Li ions are completely extracted) used for  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO, respectively. All *operando*  $^7\text{Li}$  NMR acquisitions were performed on a Bruker Avance I spectrometer in a 9.4 T magnetic field with a  $^7\text{Li}$  Larmor frequency of 155.4 MHz. The  $^7\text{Li}$  spectra were recorded using a spin echo sequence with a 90° pulse length of 2.6  $\mu\text{s}$ ; 3584 scans were acquired for each spectrum with a recycle delay of 0.5 s. The transmission frequency (SFO1) is placed at 600 ppm. Spectra were analyzed by using Topspin version 3.5, Matlab version R2014b, and the Dmfit software.<sup>52</sup>

**X-ray Diffraction.** The structures and phase purity of  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO samples were determined by X-ray diffraction (XRD) using an X'PERT Pro MPD diffractometer (PANalytical) at 45 kV and 40 mA (Cu  $K\alpha$  radiation;  $\lambda = 0.15406$  nm). The diffraction patterns were recorded in the  $2\theta$  range from 10° to 80°, with a scan rate of 7°/min.

## ■ RESULTS AND DISCUSSION

**High-Resolution  $^7\text{Li}$  pjMATPASS NMR for Site Quantification.** Because of strong hyperfine interactions between unpaired electrons on TMs and Li spins,  $^7\text{Li}$  NMR spectra of LMO cathodes show very broad signals with shift anisotropies



**Figure 1.** (a and b) pjMATPASS NMR of  $\text{Li}_2\text{MnO}_3$  to achieve a pure isotropic spectrum. After spectral shearing has been performed along the  $F_2$  dimension, (panel b) the row projection sum shows a pure isotropic  $^7\text{Li}$  spectrum with two major resonances, which are assigned to  $\text{Li}_{\text{TM}}$  (with a Fermi contact shift of 1510 ppm) and  $\text{Li}_{\text{Li}}$  (750 ppm). As a comparison, a regular MAS spectrum obtained with a stimulated echo pulse sequence is shown on top of the pjMATPASS spectrum in panel a. (c)  $^7\text{Li}$  isotropic NMR spectra of  $\text{Li}_2\text{MnO}_3$  electrodes at different states of charge. (d) Normalized quantification of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  in  $\text{Li}_2\text{MnO}_3$  electrodes at different states of first charge and discharge. Spectra are calibrated on the basis of the sample mass and number of NMR acquisitions. The electrochemical profile of a  $\text{Li}_2\text{MnO}_3/\text{Li}$  half-cell battery is also shown.

of hundreds of kilohertz. In MAS  $^7\text{Li}$  NMR, the large anisotropy manifests as manifolds of spinning sidebands, which are often overlapped with the main resonances of different Li local environments. An example is shown on top of the two-dimensional spectra in Figure 1a, the  $^7\text{Li}$  spectrum of  $\text{Li}_2\text{MnO}_3$  at a MAS rate of 50 kHz. The severe peak overlap prevents possible identification of different Li sites in  $\text{Li}_2\text{MnO}_3$ , not to mention quantification. To achieve the necessary high resolution for unambiguous site identification and reliable quantification, pjMATPASS<sup>50</sup> is employed to separate different orders of SSBs. Panels a and b of Figure 1 demonstrate the process for obtaining  $^7\text{Li}$  NMR with pure isotropic peaks. The pjMATPASS experiment generates a pseudo-two-dimensional spectrum shown in Figure 1a, in which different orders of SSBs are distributed in sequential rows. The zeroth-order SSB containing only the isotropic peaks appears in the middle row, which shows two resonances at 750 and 1510 ppm from  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$ , respectively. The peaks in the rest of the rows in the pseudo-two-dimensional are copies of these two resonances. The relative intensities of SSBs encode the parameters of shift anisotropy, which is characteristic of the local structures and compositions surrounding the Li sites of interest. The SSBs can be aligned vertically by spectral shearing (Figure 1b), and the

projection of the sheared two-dimensional (2D) spectrum is composed of only pure isotropic peaks, similar to the central row. Each isotropic peak represents a unique Li local environment.  $\text{Li}_{\text{Li}}$  resonates at 750 ppm and  $\text{Li}_{\text{TM}}$  at 1510 ppm.  $\text{Li}_{\text{TM}}$  has a Fermi contact interaction with unpaired electrons on  $\text{Mn}^{4+}$  that is stronger than that for  $\text{Li}_{\text{Li}}$  because of different degrees of spin density transfer from Mn to Li; therefore,  $\text{Li}_{\text{TM}}$  exhibits a relatively larger Fermi contact shift. With these two Li sites well resolved, it is possible to perform accurate quantification of the lithiation and delithiation in paramagnetic Li-rich cathodes.

In the pristine  $\text{Li}_2\text{MnO}_3$  cathodes, ~76% Li are found in Li layers and 24% Li in the TM layers. To follow the extraction and insertion of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  in  $\text{Li}_2\text{MnO}_3$  cathodes upon cycling,  $^7\text{Li}$  pjMATPASS NMR is performed on  $\text{Li}_2\text{MnO}_3$  cathodes charged to 4.4, 4.7, and 5.0 V and one discharged to 2.0 V: 4.4 V corresponds to the point of complete oxidation of residual  $\text{Mn}^{3+}$  to  $\text{Mn}^{4+}$ , 4.7 V is near the end of the  $\text{O}_2$  evolution plateau, and 5.0 V is at the top of charge. One  $\text{Li}_2\text{MnO}_3$  cathode at the end of the first discharge is also examined to evaluate the insertion of Li into  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  sites. The corresponding  $^7\text{Li}$  spectra of  $\text{Li}_2\text{MnO}_3$  cathodes at these states of charge are plotted in Figure 1c. Upon charge, the

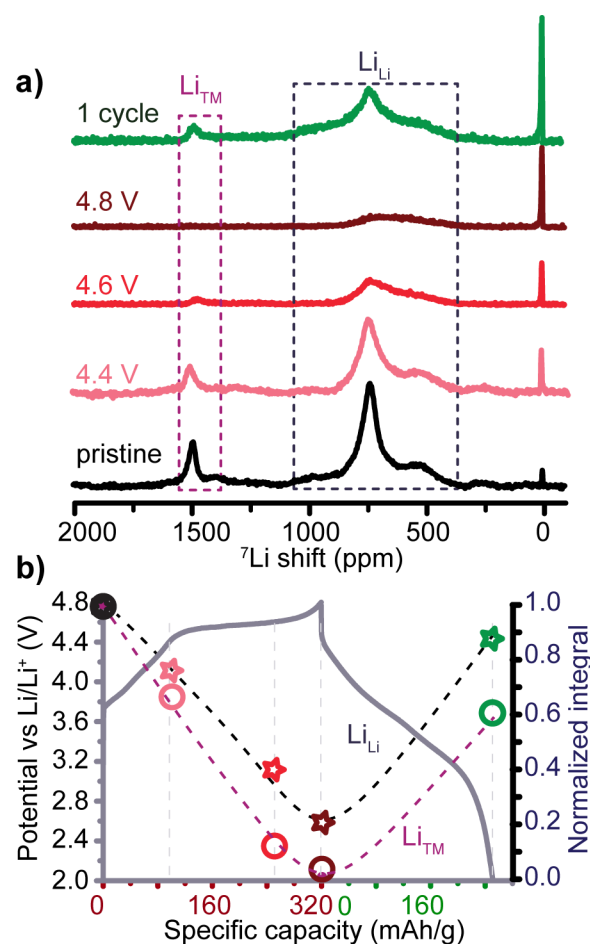


intensity of both  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  resonances gradually decreases, indicating that Li ions are extracted from both Li layers and TM layers. The total NMR signal of the  $\text{Li}_2\text{MnO}_3$  cathode charged to 5.0 V is decreased by  $\sim 20\%$  compared to that of pristine  $\text{Li}_2\text{MnO}_3$ , corresponding to 0.4 Li removed from  $\text{Li}_2\text{MnO}_3$  and a charge capacity of 100.6 mAh/g. Li is reintercalated into the  $\text{Li}_x\text{MnO}_3$  cathode upon discharge, and a higher-intensity  $^7\text{Li}$  NMR signal is observed. It is worth noting that some minor and broad resonances emerge at the left foot of the 750 ppm peak, likely from Li at tetrahedral sites. It has been shown both experimentally and computationally that migration of Li from octahedral to tetrahedral sites is possible and this migration will lead to formation of spinel-like structural domains.<sup>12,15,16</sup>

Detailed quantification is performed on the basis of the area integral of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  resonances at different states of charge, and the normalized quantification results are presented in Figure 1d. It shows that upon first charge, Li extraction slightly prefers  $\text{Li}_{\text{TM}}$ , with 20%  $\text{Li}_{\text{TM}}$  and 10%  $\text{Li}_{\text{Li}}$  removed. Upon discharge,  $\sim 87\%$   $\text{Li}_{\text{TM}}$  and 97%  $\text{Li}_{\text{Li}}$  are recovered compared to pristine  $\text{Li}_2\text{MnO}_3$ , implying that Li reintercalation preferentially takes place in the Li layer. These observations are consistent with the delithiation sequence proposed by previous computational works.<sup>15,16</sup> In addition, the spontaneous migration of Li from TM layers to vacated Li layers revealed by Persson and co-workers<sup>15,16</sup> explains the preference for extraction of Li from TM layers and reintercalation of Li into Li layers.

When Ni, in addition to Mn, is incorporated into the TM layers, to form LNMO compositions, the  $^7\text{Li}$  spectra (Figure 2a) become more complex than those of  $\text{Li}_2\text{MnO}_3$ : (1) two shoulders are observed for Li in Li layers and TM layers; (2)  $\text{Li}_{\text{TM}}$  shows a relatively high field shift to 1500 ppm compared to that of pure  $\text{Li}_2\text{MnO}_3$  at 1510 ppm; (3) much broader NMR peaks ranging from 300 to 1200 ppm are found for Li in the Li layer. The variation in shifts is due to the difference in spin density transfer between Ni and Mn to Li. The large peak width of the resonances originates from the heterogeneity of Ni and Mn coordination to Li.<sup>18,49,53</sup> At least three  $^7\text{Li}$  local environments are found for both  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  via detailed analysis of the  $^7\text{Li}$  pjMATPASS spectrum of pristine LNMO (Figure S3). High-resolution  $^7\text{Li}$  NMR spectra are recorded on cycled LNMO cathodes to probe structural modification upon delithiation and lithiation: 4.4 V corresponds to complete oxidation of  $\text{Ni}^{2+}$  to  $\text{Ni}^{4+}$  and oxidation of residual  $\text{Mn}^{3+}$  to  $\text{Mn}^{4+}$ ; 4.6 V is near the end of the  $\text{O}_2$  evolution process; and 4.8 V is at the top of charge. One sample at the end of discharge is studied to probe lithiation reversibility. The intensity of  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  gradually decreases as Li ions are extracted from LNMO upon charge. When the charge reaches 4.8 V, the  $\text{Li}_{\text{TM}}$  peak disappears completely, while a small amount of  $\text{Li}_{\text{Li}}$  remains. Both  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  resonances return upon discharge. The two resonance peaks are significantly broadened due to rearrangement of local structures caused by Li extraction and intercalation. The intensity of one sharp peak located at 0 ppm increases through the whole electrochemical cycling process, which is attributed to solid–electrolyte interphase (SEI). This is not observed for the  $\text{Li}_2\text{MnO}_3$  cathode (Figure 1c). The presence of Ni increases the specific capacity and meanwhile promotes SEI formation on the LNMO cathode.<sup>54</sup>

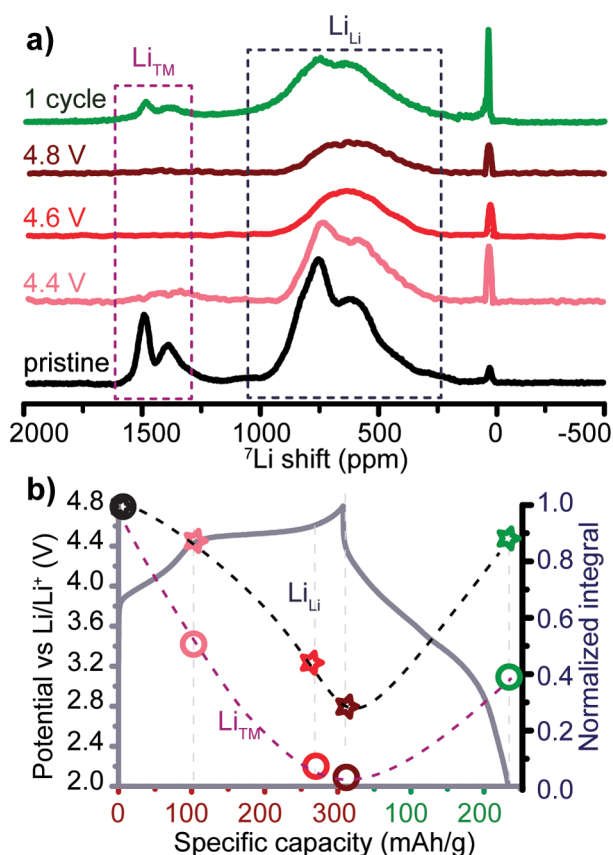
Results from quantitative analysis of the spectra in Figure 2a are shown in Figure 2b. Both  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  exhibit almost linear decay upon charge. The slope of the  $\text{Li}_{\text{TM}}$  decrease is slightly steeper than that for  $\text{Li}_{\text{Li}}$  at the beginning of the first charge, and  $\text{Li}_{\text{TM}}$  extraction slows at later stages of charge. At the top of



**Figure 2.** (a)  $^7\text{Li}$  pjMATPASS NMR spectra of LNMO electrodes at different states of charge. (b) Electrochemical profile of a LNMO/Li half-cell battery and quantified evolution of  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  in LNMO electrodes during the first charge and discharge process.

the charge range (4.8 V),  $\text{Li}_{\text{TM}}$  is depleted and 20%  $\text{Li}_{\text{Li}}$  remains. The quantification results obtained with NMR are in good agreement with the remaining total Li content calculated from electrochemistry; 60%  $\text{Li}_{\text{TM}}$  and 90%  $\text{Li}_{\text{Li}}$  are reintercalated at the end of the first discharge. This again confirms that Li preferentially reintercalates into Li layers.<sup>49,55</sup>

Incorporating Co brings increased complexity to Li local structures in LNMCO compared to LNMO. Pristine LNMCO shows two groups of Li resonances as presented in Figure 3a. A cluster of peaks between 1200 and 1650 ppm is from Li in TM layers, and the other between 200 and 1000 ppm is from Li in Li layers. The  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  resonances can both be further decomposed into four major components via more detailed spectral analysis as shown in Figure S4. The different  $\text{Li}_{\text{TM}}$  peaks originate from variations in the neighboring coordination of Mn, Ni, and Co to Li, as shown in previous studies.<sup>7,49,55</sup> Li NMR spectra are recorded to probe the modification of LNMCO cathodes by (de)lithiation: 4.4 V corresponding to oxidation of  $\text{Ni}^{2+}$  to  $\text{Ni}^{4+}$ ,  $\text{Co}^{3+}$  to  $\text{Co}^{4+}$ , and possibly residual  $\text{Mn}^{3+}$  to  $\text{Mn}^{4+}$ , 4.6 V next to the end of  $\text{O}_2$  evolution, and 4.8 V at the top of the charge range. One sample at the end of the first discharge is studied to check (de)lithiation reversibility. It is found that Li ions are extracted from both Li layers and TM layers upon charge. At 4.8 V,  $\text{Li}_{\text{TM}}$  is depleted and residual  $\text{Li}_{\text{Li}}$  is observed. The spectrum of the cathode after one charge–discharge cycle shows both  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$  resonances. However,



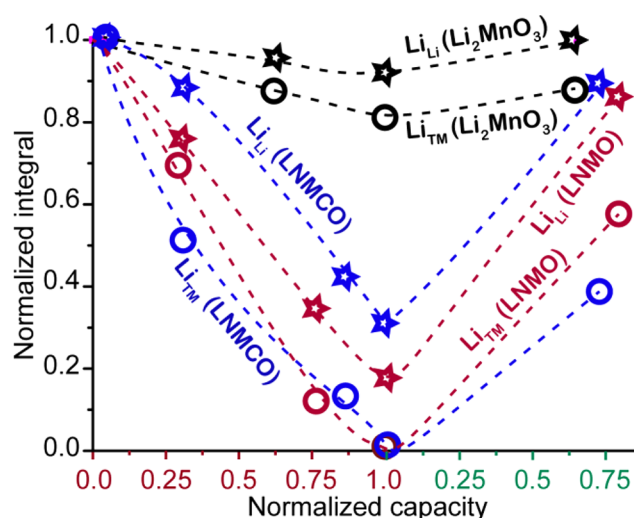
**Figure 3.** (a)  $^7\text{Li}$  NMR spectra of LNMCO electrodes at different states of charge. (b) Electrochemical profile of a LNMCO/Li half-cell battery and quantified evolution of Li ions in Li layers and TM layers of LNMCO electrodes during the first charge and discharge process.

the two resonances are broadened, revealing structural disorder induced by the electrochemical cycling. Similar to LNMO, one sharp peak located at 0 ppm from SEI arises and grows rapidly upon cycling.

Quantification results of the lithiation and delithiation process within the LNMCO cathode are shown in Figure 3b. Both  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  show almost linear decay upon charge. At the beginning of charge,  $\text{Li}_{\text{TM}}$  shows a slope of decay steeper than that of  $\text{Li}_{\text{Li}}$ . At top of charge (4.8 V), there is no  $\text{Li}_{\text{TM}}$  left, while 30%  $\text{Li}_{\text{Li}}$  remains. Upon first discharge, approximately 40% (40% – 0%) and 60% (90% – 30%) of Li are reintercalated into TM and Li layers, respectively. This again suggests that Li preferentially reintercalates into Li layers.<sup>49</sup>

To compare the influence of different TMs on Li extraction and reinsertion, quantified and normalized delithiation and lithiation of the  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO cathodes are plotted in Figure 4. Capacities are normalized to the maximum experimental values of each cathode. The Coulombic efficiency is around 75% for LNMO and LNMCO and 62% for  $\text{Li}_2\text{MnO}_3$  in the first cycle.  $\text{Li}_{\text{TM}}$  extraction is slightly preferred over  $\text{Li}_{\text{Li}}$  extraction at the beginning of the first charge in all three cathodes. The difference gap in  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  extraction is similar for  $\text{Li}_2\text{MnO}_3$  and LNMO. However, Co in LNMCO seems to enhance this difference in the delithiation rate of  $\text{Li}_{\text{TM}}$  and  $\text{Li}_{\text{Li}}$ . Li quantification of the first discharge reveals a significant loss of  $\text{Li}_{\text{TM}}$  in all three Li-rich cathodes compared to their pristine states.

**Operando  $^7\text{Li}$  NMR for Real Time Monitoring of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  Extraction and Insertion.** *Ex situ* pJMATPASS

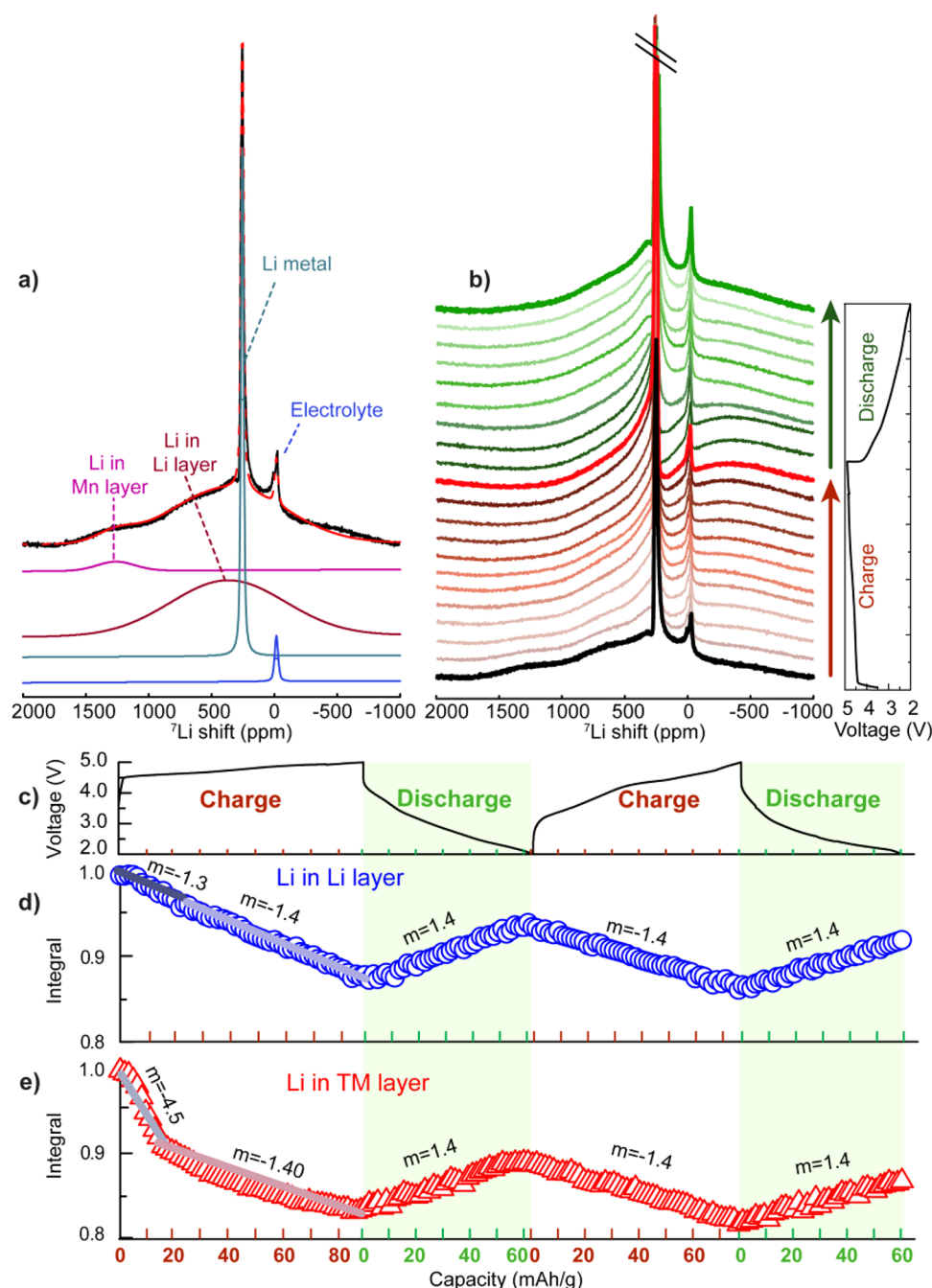


**Figure 4.** Comparison of  $^7\text{Li}$  NMR signal integrals for cycled  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO electrodes. The data are adapted from Figures 1d, 2b, and 3b with axis values normalized to the respective experimental maximum capacity. Stars denote values for  $\text{Li}_{\text{Li}}$  and circles denote for  $\text{Li}_{\text{TM}}$ .

NMR spectra provide clear assignments for different Li local environments and reliable quantification of different Li participation in the (de)lithiation process. Adding temporal resolution is helpful for examining Li extraction and insertion dynamics at different states of charge with finer steps. *Operando* NMR can follow Li evolution during battery cycling to offer insights that cannot be obtained from *ex situ* studies, especially real time Li extraction and reinsertion dynamics. Even though the resolution of static *operando* NMR is poor for the paramagnetic LMO cathode systems, it is sufficient to resolve  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$ , making it possible to follow their individual evolution in real time.

Figure 5a shows the static  $^7\text{Li}$  NMR spectrum of a pristine  $\text{Li}_2\text{MnO}_3$ /Li half-cell battery. The intense sharp peak at 250 ppm originates from the Li metal anode. The  $^7\text{Li}$  NMR resonances of the  $\text{Li}_2\text{MnO}_3$  cathode cover a broad range from –1000 to 2000 ppm. The major peak centered around 500 ppm is from  $\text{Li}_{\text{Li}}$  and the other one at ~1400 ppm from  $\text{Li}_{\text{TM}}$ . The difference in peak positions in static and MAS NMR spectra is due to shift anisotropy and asymmetry parameters. The center of a static spectrum more often does not coincide with the isotropic peak position. Nevertheless, they represent the same Li local environment. Besides the broad resonances, a small sharp peak at 0 ppm is from Li salt in electrolyte LP30. Because the electrolyte is not of interest in this study, the experimental condition is optimized to achieve high temporal resolution while allowing full relaxation of Li resonances in LMO cathodes. As a result, the optimal recycle delay of 0.05 s between each NMR spectrum acquisition was used for both LMO cathodes and Li anodes.

Selective *operando*  $^7\text{Li}$  NMR spectra are shown in Figure 5b for the first charge–discharge process. The three spectra plotted in thick black, red, and green lines correspond to the pristine cell, top of charge, and end of first discharge, respectively. The intensities of the broad signals from the  $\text{Li}_2\text{MnO}_3$  cathode decrease upon charge due to Li extraction. Meanwhile, the intensity of the sharp peak at 250 ppm increases due to the deposition of Li onto a Li metal surface. The broad signal partially recovers upon discharge as Li is

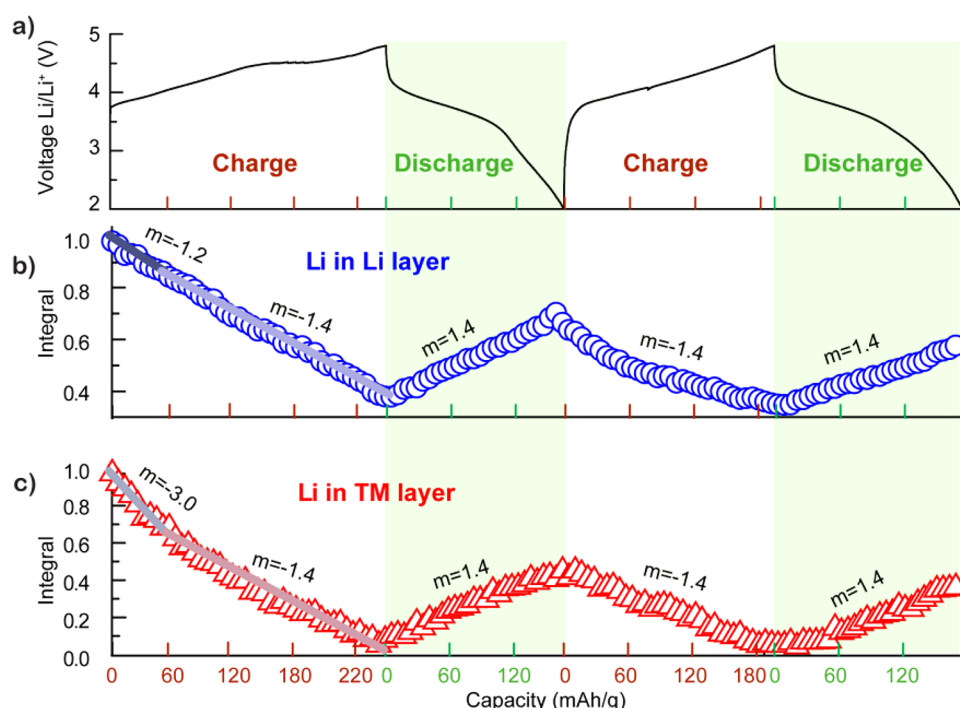


**Figure 5.** (a)  $^7\text{Li}$  static NMR spectra of a pristine  $\text{Li}_2\text{MnO}_3//\text{Li}$  half-cell battery. The black spectrum was experimentally recorded, and the red-dash line is the sum of simulated individual  $^7\text{Li}$  components from the electrolyte, Li metal anode, and  $\text{Li}_2\text{MnO}_3$  cathode. (b) Selective *operando*  $^7\text{Li}$  NMR spectra during the first charge–discharge cycle with the corresponding electrochemical profile. (c) Electrochemical profile of a  $\text{Li}_2\text{MnO}_3//\text{Li}$  half-cell battery in the working voltage range between 2.0 and 5.0 V. (d and e) Normalized area integrals of NMR resonances of Li in Li layers and TM layers, respectively, in *operando*  $^7\text{Li}$  NMR spectra.

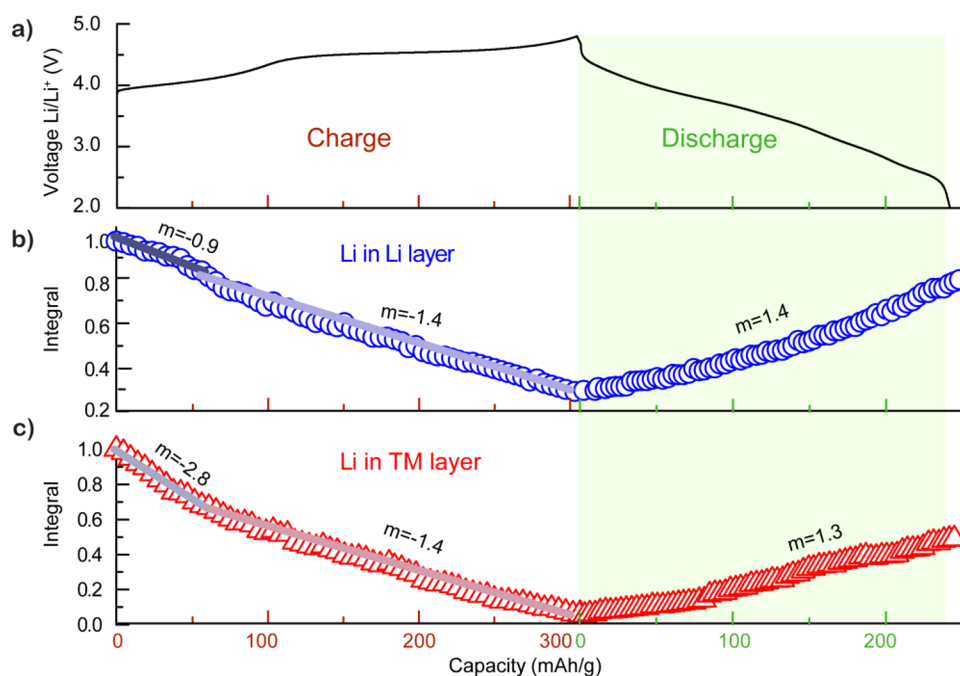
reintercalated into the  $\text{Li}_2\text{MnO}_3$  cathode. On the other hand, the intensity of the Li anode NMR signal decreases due to Li stripping upon discharge. It is worth noting that upon charge, the phase of the Li metal peak is significantly distorted because of the magnetic susceptibility effects on the newly formed Li microstructure (moss and dendrite).<sup>56</sup> The phase returns to normal upon discharge as the Li microstructure on the anode is removed.

The electrochemical profile of the first two charge–discharge cycles for a  $\text{Li}_2\text{MnO}_3//\text{Li}$  half-cell battery is shown in Figure 5c. Specific capacities of 90 and  $\sim 80$  mAh/g are obtained for the

first and second charges, respectively, a specific capacity of  $\sim 60$  mAh/g was obtained for both first and second discharge. It is worth pointing out that  $\text{Li}_2\text{MnO}_3$  does not make a high-capacity cathode for rechargeable batteries; nevertheless, it is a clean and representative system for studying Li extraction dynamics in Li-rich cathodes. Quantification of Li in both the Li layer and the TM layer, based on area integrals of corresponding NMR resonances in *operando*  $^7\text{Li}$  NMR spectra (Figure 5a), is performed (panels d and e of Figure 5, respectively). The extraction of  $\text{Li}_{\text{Li}}$  from  $\text{Li}_2\text{MnO}_3$  is relatively slow at the beginning of first charge (dark blue region) with a



**Figure 6.** (a) Electrochemical profile of a LNMO//Li half-cell battery in the working voltage range of 2.0 and 4.8 V. (b and c) Normalized area integrals of NMR resonances from Li in Li layers and TM layers, respectively, vs specific capacity. The corresponding *operando* <sup>7</sup>Li NMR spectra are shown in Figure S6.



**Figure 7.** (a) Electrochemical profile of a LNMCO//Li half-cell battery in the working voltage range between 2.0 and 4.8 V. (b and c) Normalized area integrals of Li<sub>Li</sub> and Li<sub>TM</sub> resonances, respectively. The corresponding *operando* <sup>7</sup>Li NMR spectra are shown in Figure S7.

slope of  $m = -1.3$  in the normalized NMR integral versus capacity plot (Figure 5d). Afterward, a slightly faster extraction is observed with  $m = -1.4$ . For Li<sub>TM</sub>, it shows fast extraction at the very beginning of charge ( $m = -4.5$ ) and slow removal at the later stage of charge ( $m = -1.4$ ). Li<sub>Li</sub> and Li<sub>TM</sub> show similar rates of insertion and extraction after the first charge. The activation of Li<sub>2</sub>MnO<sub>3</sub> starts with extraction of Li<sub>TM</sub>. Li<sub>Li</sub> and Li<sub>TM</sub> both show lower-intensity NMR signals after the first and

second cycle compared with their corresponding amounts in pristine Li<sub>2</sub>MnO<sub>3</sub>, which reflects the fact that the process of Li extraction and reinsertion is not completely reversible, consistent with electrochemistry. Also, the quantified *operando* experimental data help us to understand the contribution of each Li structural site to the specific capacity at various states of charge.



The  $\text{Li}_2\text{MnO}_3$  cathode is a relatively simple and clean model compound for determining the Li extraction and insertion dynamics. In the following, we extend our investigations to more complex and practical Li-rich cathodes: LNMO and LNMCO. When other TMs in addition to Mn are incorporated into the LMO structure, more Li ions can be extracted because of the increased amount of TMs with redox-active pairs per unit mass,<sup>14</sup> and the additional TMs can stabilize the structure and allow more Li extraction to take place.<sup>9</sup> With *operando* NMR, the Li extraction and insertion dynamics for Li in both Li layers and TM layers in LNMO and LNMCO are determined.

For the LNMO cathode, a specific capacity of 240 mAh/g is achieved for the first charge as shown in Figure 6a. Assuming all  $\text{Ni}^{2+}$  is oxidized to  $\text{Ni}^{4+}$ , it offers a capacity of  $\sim 120$  mAh/g. The extra capacity of  $C = 120$  mAh/g has to originate from the oxidation of  $\text{O}^{2-}$  mainly over the plateau at 4.5 V. The first discharge achieves a capacity of 170 mAh/g, originating from the reduction of  $\text{Ni}^{4+}$ ,  $\text{Mn}^{4+}$ , and possibly  $(\text{O})_2^{n-}$ .<sup>9,57</sup> The second charge delivers a capacity of  $C = 190$  mAh/g and second discharge a capacity of  $C = 170$  mAh/g.

*Operando*  $^7\text{Li}$  NMR quantifications of the LNMO cathode in a LNMO//Li half-cell battery, based on spectra collected in real time with battery cycling (Figure S7), are shown in panels b and c of Figure 6. A slower decay ( $m = -1.2$ ) and a faster decay ( $m = -3.0$ ) are observed for  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$ , respectively, at the beginning of the first charge. This behavior reflects the fact that the activating process starts from the TM layer for the LNMO cathode. After the activating process, the Li extraction and reinsertion for Li in both Li layers and TM layers behave linearly (Figure 6b,c), with a similar (de)lithiation rate of  $m = -1.4$ . At top of charge, Li in TM layer is completely removed (Figure 6c); however, there is still  $\sim 40\%$  residual Li in the Li layer. Upon the first discharge,  $\text{Li}_{\text{Li}}$  is restored to 70% and  $\text{Li}_{\text{TM}}$  to 40% compared with their respective amounts in pristine LNMO. No preferential extraction or insertion of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  is observed for the second charge and discharge cycle, suggested by the same slope of  $|m| = 1.4$ .

When Co is introduced into the TM layer in addition to Mn and Ni to form another Li-rich cathode, LNMCO, a capacity of 305 mAh/g is obtained for the first charge (Figure 7a). Below 4.5 V during charge, oxidation of  $\text{Ni}^{2+}$  and  $\text{Co}^{3+}$  occurs to produce a capacity of  $\sim 120$  mAh/g. The long plateau around 4.5 V is mainly associated with  $\text{O}^{2-}$  oxidation, yielding a capacity of 160 mAh/g. The first discharge provides a capacity of  $C = 240$  mAh/g, which is attributed to the reduction of  $\text{Ni}^{4+}$ ,  $\text{Co}^{4+}$ ,  $\text{Mn}^{4+}$ , and possibly  $\text{O}_2^{n-}$ .

Quantified analysis of the *operando*  $^7\text{Li}$  NMR on the LNMCO cathode (Figure S7) is presented in panels b and c of Figure 7. As in  $\text{Li}_2\text{MnO}_3$  and LNMO, a slower linear decay with a slope of  $m = -0.9$  for  $\text{Li}_{\text{Li}}$  and a faster linear decay with a slope of  $m = -2.8$  for  $\text{Li}_{\text{TM}}$  are observed at the very beginning of the first charge. This behavior implies that initial charge largely prefers to extract Li from the TM layers for the LNMCO cathode. At the later stage of charge and the following discharge, no preference is observed for  $\text{Li}_{\text{Li}}$  or  $\text{Li}_{\text{TM}}$ . The Li extraction and insertion show the same rate for both  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  with a slope of  $|m| = 1.4$ . At the top of charge,  $\text{Li}_{\text{TM}}$  is completely removed (Figure 7c), but  $\sim 30\%$   $\text{Li}_{\text{Li}}$  remains. Incomplete reinsertion is observed on the basis of the lower intensity of the NMR signal for both  $\text{Li}_{\text{Li}}$  (83%) and  $\text{Li}_{\text{TM}}$  (45%) in LNMCO.

By comparison of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  extraction and insertion dynamics within  $\text{LiMn}_2\text{O}_3$ , LNMO, and LNMCO cathodes

obtained from NMR, it reveals that Li is preferentially extracted from TM layers at the beginning of charge, approximately up to 20% of the practical first charge capacity, in all three cathodes. This initial selective removal of Li from TM layers occurs only once and seems to serve as a conditioning step of the Li-rich cathodes. Uniform Li extraction and insertion of  $\text{Li}_{\text{Li}}$  and  $\text{Li}_{\text{TM}}$  are observed afterward and in the following charge–discharge cycles. Significant irreversible loss of Li in TM layers is seen in all three cathodes, 12% for  $\text{LiMn}_2\text{O}_3$ , 40% for LNMO, and 60% for LNMCO, while irreversible loss of Li from Li layers is 7% for  $\text{LiMn}_2\text{O}_3$ , 10% for LNMO, and 10% for LNMCO. The presence of Ni and Co promotes full extraction of Li from TM layers at the end of charge, and the remaining Li, if any, all resides in the Li layer. It is worth noting that Ni and Co also seem to induce much more SEI formation on the cathodes compared with Ni- and Co-free  $\text{Li}_2\text{MnO}_3$  does. When the Li extraction and insertion are coupled with electrochemistry, it shows that the irreversible capacity is mainly from the permanent loss of Li in TM layers.

## CONCLUSIONS

This study combines two techniques for achieving high spectral and temporal resolution for quantitative real time monitoring of Li extraction and insertion dynamics in typical Li-rich cathodes.  $^7\text{Li}$  pjMATPASS NMR is employed to obtain the highest spectral resolution to clearly distinguish and quantify various Li local environments. Optimized *operando* NMR is implemented for high temporal resolution to monitor lithiation and delithiation dynamics of various Li sites in real time. Both *ex situ*  $^7\text{Li}$  pjMATPASS and *operando* NMR investigations consistently show that Li extraction starts preferably from TM layers, and this preference lasts until approximately 20% of the practical capacity is reached. After this initial conditioning, a similar rate of extraction of Li from and reinsertion of Li into both TM and Li layers is observed in  $\text{Li}_2\text{MnO}_3$ , LNMO, and LNMCO. Significant residual Li in both TM and Li layers is seen in  $\text{Li}_2\text{MnO}_3$  cathodes. Complete extraction of Li from TM layers is achieved in LNMO and LNMCO, but the recovery of Li in TM layers upon discharge is  $<50\%$  in LNMO and LNMCO cathodes, comparatively much lower than that of Li in Li layers. The combination of pjMATPASS with high spectral resolution and *operando* NMR with high temporal resolution provides a reliable quantitative approach to probing (de)-lithiation dynamics of different Li sites in paramagnetic cathodes. This method can be easily extended to other paramagnetic materials with large NMR shift anisotropies, such as Cu-, Fe-, and Mn-based Li and Na cathodes.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.chemmater.7b02589.

XRD patterns and NMR spectral simulations together with quantification of Li anodes upon cycling (PDF)

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## Notes

The authors declare no competing financial interest.

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## ABBREVIATIONS

NMR, nuclear magnetic resonance; MAS, magic-angle spinning; pjMATPASS, projection magic-angle turning and phase-adjusted sideband separation; XRD, X-ray diffraction; SSBs, spinning sidebands; LNMO,  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ ; LNMCO,  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$

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